

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

IN THE DISTRICT COURT OF THE UNITED STATES  
FOR THE NORTHERN DISTRICT OF OHIO  
EASTERN DIVISION

IN RE: NATIONAL )  
PRESCRIPTION )  
OPIATE LITIGATION, ) Judge Polster  
 ) Cleveland, Ohio  
Plaintiffs, )  
 ) Civil Action  
 ) Number 1:17MD02804  
 )  
APPLIES TO ALL CASES )

- - - - -

TRANSCRIPT OF PROCEEDINGS HAD BEFORE  
THE HONORABLE DAN AARON POLSTER  
JUDGE OF SAID COURT,  
ON WEDNESDAY, AUGUST 7, 2019  
- - - - -

Official Court Reporter: Shirle M. Perkins, RDR, CRR  
U.S. District Court  
801 West Superior, #7-189  
Cleveland, OH 44113-1829  
(216) 357-7106

Proceedings recorded by mechanical stenography; transcript  
produced by computer-aided transcription.

1 APPEARANCES:

2 For the Plaintiffs: PETER WEINBERGER, ESQ.,  
3 Spangenberg, Shibley &  
4 Liber  
5 Suite 1700  
6 1001 Lakeside Avenue, E  
7 Cleveland, OH 44114  
8 (216) 696-3232

9 For Derric and Ceonda Rees: SCOTT BICKFORD, ESQ.,  
10 Martzell & Bickford  
11 338 Lafayette Street  
12 New Orleans, LA 70130  
13 (504) 581-9065

14 For Jodi Shaffer: MARC DANN, ESQ.,  
15 Dann Law  
16 P.O. Box 6031040  
17 Cleveland, OH 44103  
18 (216) 373-0539

19 Also Present: THOMAS BILLAK, ESQ.,  
20 KEVIN THOMPSON, ESQ.,  
21 MARK DANN, ESQ.,  
22  
23  
24  
25

1                   WEDNESDAY SESSION, AUGUST 7, 2019, AT 9:22 A.M.

2                   THE COURT: All right. Good morning,  
3 everyone.

09:43:43

4                   This is a hearing on the NAS class action case or  
5 cases.

09:44:07

6                   These cases, of course, are dramatically different  
7 from the other cases in the MDL. It's not clear to me they  
8 really belong here, but they were transferred to this Court.  
9 So I have them. And I need to decide how to -- how to  
10 proceed.

09:44:30

11                  I requested the parties propose a schedule for dealing  
12 with the motion for class certification. I had assumed that  
13 it would be a fairly quick process, but the schedule the  
14 parties have proposed lasts more than a year, which is not  
15 anything I contemplated and not anything I planned to do.  
16 I've got to decide very quickly whether these cases, one,  
17 whether they're appropriate for class certification. I  
18 obviously have some serious questions. And two, if they  
19 belong in the MDL. And I've got to make that decision  
20 quickly and I intend to do it.

09:44:51

21                  So I need to determine from the parties what -- what  
22 you need to tee up this motion for class certification. To  
23 me, it's a mixed question of fact and law. The Plaintiffs  
24 have to demonstrate, indicate how they hope to prove their  
25 contentions, but -- and more significantly, how common

09:45:15

1 issues of fact substantially predominate over individual  
2 questions. And these cases are totally unlike anything else  
3 in the MDL. The cases in the MDL almost exclusively are  
4 brought by Government entities, states, cities, counties,  
09:45:39 5 seeking injunctive relief and monetary damages for public  
6 services that had been expended in the past and will be  
7 expended in the future.

8 The Plaintiffs have very specifically disclaimed any  
9 intent to focus on any individual who's been harmed;  
09:46:06 10 addicted, overdosed, injured, died, whatever.

11 They're not going to try to prove their cases through  
12 proof of any individual injury. They're doing it through  
13 aggregate proof. And again, they're not -- they're not  
14 focusing on any individuals because that isn't the injury  
09:46:30 15 and that's not the harm.

16 Of course, this -- this class action is the opposite.  
17 It's made up of individual claims for individuals who are  
18 born with Neonatal Abstinence Syndrome and require medical  
19 monitoring; in some cases, very expensive medical treatment  
09:46:53 20 for many years.

21 So these are individual claims. So they've been  
22 lumped together in a class action. The Court has great  
23 doubt as to whether they're appropriate for class action,  
24 and I need to address that quickly.

09:47:09 25 Now, can the Plaintiffs tell me what discovery they

1 need to address that question? Really, whether individual,  
2 individual questions, both on liability and damages,  
3 predominate? If so, it can't be a class, and it's got to be  
4 brought as individual cases, and it won't be in this MDL.

09:47:30

5 If so, maybe it can be brought as a class. Whether it stays

6 in the MDL is between me and the JPML.

7 So you're talking about months and months of  
8 discovery, expert reports. I don't understand why you need  
9 months of discovery. I don't understand why you need any  
10 expert reports. So someone needs to educate me.

09:47:48

11 MR. BICKFORD: Good morning, Judge. Scott  
12 Bickford representing the NAS babies.

13 Judge, in order for us to -- well, first of all, we're  
14 asking for medical surveillance monitoring class, first of  
15 all. So it depends what the ask in this case is. And first  
16 and foremost would be a medical surveillance and monitoring  
17 class, which is to avoid or minimize the eventual damage or  
18 to abate the damage that children have.

09:48:07

19 It's -- the NAS babies, as this Court knows, is a  
20 unique class of individuals. They are, by definition,  
21 babies that are born with Neonatal Abstinence Syndrome,  
22 Number 1. So they have, unlike most medical monitoring  
23 cases, they have a pre-existing injury. It is not a  
24 speculative or future injury. And the necessity of medical  
25 monitoring of these children is to monitor what

09:48:56

1       manifestations of the existing injury take place in the  
2       future. Those manifestations are fairly documented. I  
3       think they're pretty --

4                   THE COURT: They're going to be different for  
09:49:15 5       each child. For some, happily, it won't be much; for some,  
6       they may have permanent -- permanent injuries.

7                   MR. BICKFORD: Hence, the medical monitoring  
8       issue because what we want to do is to track -- to register  
9       these children, to track these children, to see -- to track  
09:49:37 10       the 40 percent -- the odd 40 percent of these children that  
11       develop mental disabilities, learning disabilities.

12                   THE COURT: Again, this is all individual --  
13       that's the point. It's all individual. And the other issue  
14       is proof of causation. All right?

09:49:56 15                   I don't see how -- I mean each -- each individual was  
16       born to a mother. The mother obviously ingested opioids at  
17       some point during the pregnancy. We know that. That's the  
18       only way you get Neonatal Abstinence Syndrome. Okay?

19                   How and when and whose opioids each mother ingested is  
09:50:25 20       different for each -- for each mother. Some of them may  
21       have ingested prescription opioids, some may have ingested  
22       street drugs, some of them may have started on prescription  
23       opioids and gone to street drugs, some may not. It's  
24       different for each individual mother. Okay?

09:50:43 25                   So what I've got to -- and no amount of discovery is

1 going to change that. I know that, you know that. All  
2 right? You've got to tell me succinctly how you plan to  
3 prove your case and how -- and how it is appropriate for a  
4 class action. I'm not sure you need any discovery for that  
09:51:03 5 and you certainly don't need an expert. You've got to tell  
6 me and convince me of that in order to get class  
7 certification. If you do, I'll certify a class. If you  
8 don't, I won't, and you'll have to bring individual cases.

9 So I need -- I don't think you need a year. If you  
09:51:19 10 need a year, you're not going to get it. So tell me -- I  
11 mean I don't know what discovery you need to answer those  
12 questions. If you need discovery, I'll allow a short period  
13 of time for discovery.

14 MR. BICKFORD: Well, presently, the question  
09:51:40 15 is, is the -- is the class certification that we seek a  
16 class certification against the distributors in a divergent  
17 market or do we seek it against just, for instance, Purdue  
18 for those mothers that basically ingested a Purdue product  
19 in the market? So -- it depends on what --

09:52:06 20 THE COURT: How are you -- I mean --

21 MR. BICKFORD: How we define the class --

22 THE COURT: You've got to do that. I'm not  
23 going --

24 MR. BICKFORD: I understand that, Judge.

09:52:13 25 THE COURT: I'm not going to give you a year

1 to figure that out.

2 MR. BICKFORD: No. And what we asked for was  
3 a very short reasonable time to amend complaints, which was  
4 within 30 days, and 50 days thereafter to file our class  
09:52:29 5 cert motion, together with our Defendant, with our expert  
6 reports, justifying the reasonable and necessary extent of  
7 the medical monitoring in this particular case.

8 At that point, there was a -- and we needed that four  
9 months to look at the discovery that's already been done in  
09:52:54 10 this case to surmount certain defenses that arise that the  
11 Court has somewhat alluded to but there are other defenses  
12 that arise in this case that may be surmounted due to the  
13 Defendant's marketing procedures which had been extensively  
14 looked at by the PEC. And there may be some additional  
09:53:17 15 issues of what actual physical warnings on the NAS issue  
16 were issued by the pharmaceutical industry as a whole.

17 So those are the principle issues that we need to look  
18 at in forming our class cert motion that would go before the  
19 Court; at which point, we would tender our experts, we would  
09:53:39 20 tender the -- to the Defendants and they would have a short  
21 discovery period to produce experts to the contrary, and the  
22 Court would have briefs on the issue and then decide on  
23 whether or not it decides to have a hearing on the issue.

24 So that's the -- that was the plan. I think that  
09:53:59 25 originally, we had looked at a plan that ended in February



1 because of the holidays in November and December and the  
2 timing of that issue. That was pushed out to what the  
3 present plan is.

4 We've discussed the issue with the Defendants today.  
09:54:21 5 We're willing to rework the schedule, understanding that the  
6 Court wants to resolve this more quickly and are prepared to  
7 propose a separate schedule tomorrow to the Court on the --  
8 a revised schedule tomorrow to the Court.

9 THE COURT: I --

09:54:39 10 MR. BILLAK: Your Honor, if I might interrupt.  
11 I'm Tom Billak, and we're also one of the people that  
12 represents some of the children here.

13 I think, your Honor, you're right. You have hit the  
14 nail on the head. The discovery that we need has nothing to  
09:54:58 15 do with the theory of the class certification towards the  
16 market, and so we --

17 THE COURT: Well, it may. I mean I still  
18 don't -- I'm going to have to know -- you're going to have  
19 to explain to me how you're going to prove your case because  
09:55:15 20 that may -- that very well may influence the class cert  
21 issue.

22 MR. BILLAK: So we --

23 THE COURT: And who's against --

24 MR. BILLAK: Plaintiffs have put that on how  
09:55:27 25 we intend to prove that. And so what we say is that by this

1        oversupplying of the market, particularly like in West  
2        Virginia, Ohio, and in Pennsylvania, they have flooded and  
3        created this market. And they work together in concert to  
4        create this market. So if you contributed to that market,  
09:55:47 5        then you're liable for it all. And the point is in some of  
6        these states, the law is extremely surprising and very  
7        favorable on both.

8                    THE COURT: Well, that's another -- it may be  
9        true in some states, but that -- that's the reason why there  
09:56:04 10       shouldn't be any nationwide class action. You want to bring  
11       a case in a particular state, so fine.

12                   MR. BILLAK: I think that the issue of --  
13       you're right, your Honor, many states, it is very  
14       problematic on whether you could do a class.

09:56:21 15                   Number 2, the nationwide class, I would think the only  
16       thing that we could probably do is what Ms. Cabraser was  
17       arguing, for was some type of negotiating class.

18                   THE COURT: Well, no, you're not in any  
19       negotiated class. That had to do with cities and counties.  
09:56:38 20       Okay?

21                   MR. BILLAK: But, that would be the only  
22       thing. I don't think --

23                   THE COURT: Well, let's start -- why don't you  
24       withdraw this -- why don't you withdraw this, go back to the  
09:56:46 25       drawing board, decide what you want to do. If you want to

1 bring some cases in State Court, you know, where you think  
2 the law covers this, I -- you can do it. I -- I don't  
3 think -- I have great doubts whether this is a viable  
4 nationwide class action. Okay? But, you can -- you want to  
09:57:09 5 try and convince me, you can, but I -- you're not doing a  
6 very good job so far.

7 MR. BICKFORD: Judge, the Court's order that  
8 we're following at this point anticipated that we would file  
9 three individual State Court class actions and a nationwide  
09:57:26 10 class action, which is what we have intended to do. And so  
11 we're following that dictate. We would propose those to the  
12 Court.

13 Now, remember that medical -- and the Court looks at  
14 the umbrella of --

09:57:46 15 THE COURT: First of all, I don't have any  
16 state cases. You want to file something in State Court, you  
17 file it in State Court.

18 MR. BILLAK: Well, your Honor, we're in  
19 Federal Court because the tort reform that was passed, I  
09:58:03 20 guess it was like in the late 90's, required the class  
21 action cert filed in state -- if they involved a number of  
22 Plaintiffs, have to be filed in federal court.

23 MR. BICKFORD: The Fair Act brings us here.

24 MR. BILLAK: And so the point is we're in  
09:58:18 25 Federal Court, and we filed in this Federal Court like, for

1 example, Pennsylvania and West Virginia, and then the panel  
2 sent us all here. And --

3 THE COURT: Well, I could send it back real  
4 fast. And maybe I'll just do that. Okay? I -- this is not  
09:58:36 5 growing -- I don't think this is a good productive use of my  
6 resources.

7 So maybe I'll just shoot them back. They can go back  
8 to around the country. I think these are unworkable cases,  
9 unmanageable cases. I don't understand them. If you can't  
09:58:54 10 succinctly explain it to me, I think this -- the quick thing  
11 is I'll just send them back to the JPML and let them do what  
12 they want.

13 MR. DANN: Judge, can I take a short swing at  
14 this?

09:59:04 15 THE COURT: Very short.

16 MR. DANN: Yes, your Honor. My name is Mark  
17 Dann on behalf of the children, NAS-affected children.

18 Your Honor, the establishment of a medical monitoring  
19 protocol by injunction on a nationwide basis or on a  
09:59:23 20 state-by-state basis, depending on the outcome of your  
21 evaluation of the case law, is actually a relatively simple  
22 and quick solution. These children are getting older  
23 everyday, and the ability to --

24 THE COURT: I understand that, Mark, but I  
09:59:40 25 don't see how you're going to -- how you're going to -- how

1 you're going to track the harm from Baby A to any one  
2 Defendant unless you can categorically show that, you know,  
3 that while -- while she was pregnant, that baby's mother  
4 took a drug from a, you know, a particular manufacturer.

10:00:06

5 MR. DANN: Your Honor, our challenge is no  
6 more challenging than the challenge of the cities and  
7 counties.

8 THE COURT: No, they've got -- they can do it  
9 in an aggregate way. I don't see how you do it.

10:00:16

10 MR. DANN: I think we could present that  
11 evidence in an aggregate way that would allow the Court to  
12 establish clear monitoring standards so that these -- these  
13 children can get the interventions that they need.

14 Many of these children are in foster care right now.

10:00:29

15 They're without parents with the resources to have the  
16 psychologists and the special education evaluations and the  
17 things that need to be done to make sure that they get the  
18 resources they need. And the injunctive part of this is, I

19 think we're all in agreement, is literally the only part of

10:00:46

20 this of these cases that could be -- would lend themselves  
21 to class treatment and could potentially lend themselves to  
22 national treatment as it relates to just establishing  
23 protocols and establishing the mechanism for funding, a  
24 medical monitoring fund that will allow these children to  
10:01:03 25 receive those services.

1           It could be a very important --

2           THE COURT: That's only part of the case. I  
3 mean you've got -- your case encompasses a whole lot of  
4 other things. All right?

10:01:16 5           MR. DANN: We could skinny this down --

6           THE COURT: I suggest you go back to the  
7 drawing board and figure out what you want to do and how you  
8 want to do it and where you want to file it. All right?  
9 Because what you've got, I don't think is manageable,  
10 workable in this court or maybe any other court.

11           So that's my suggestion.

12           MR. THOMPSON: Your Honor, may I -- I'm Kevin  
13 Thompson from West Virginia. And I'd just like to answer  
14 your --

10:01:48 15           THE COURT: I'm very sympathetic to these  
16 children. That isn't -- I mean obviously, you know, through  
17 no fault of their own, they were born, you know, addicted.  
18 All right? I mean it's horrendous, but how -- how you deal  
19 with it in a lawsuit is, you know, that's what you've got to  
10:02:04 20 convince me or any court.

21           MR. THOMPSON: Well, your Honor --

22           THE COURT: What you have -- what you have  
23 isn't going to cut it.

24           MR. THOMPSON: Well, your Honor, medical  
10:02:14 25 monitoring, by its very nature, is not an individual issue,

1 the cause. The most important part of it is the  
2 epidemiology and the fact that you're going to help a  
3 community. The --

4 THE COURT: Wait a minute. But you've got to  
10:02:30 5 prove it as to any individual defendant, the causation and  
6 the liability. All right.

7 MR. THOMPSON: I don't think so, your Honor.

8 THE COURT: Well, I do. I do.

9 MR. THOMPSON: Because we're selling --

10:02:42 10 THE COURT: You're going to have to convince  
11 me or any Judge that you can to get a class. Obviously, you  
12 need an individual to bring an individual case.

13 MR. THOMPSON: The ATSDR provides --

14 THE COURT: Look, I didn't want to argue the  
10:02:57 15 case. I mean I -- I was hoping to have a fairly simple,  
16 short process. It isn't happening.

17 So I suggest you all go back -- I'm doing nothing.

18 You go back to the drawing board, come up with some -- a new

19 case or cases. If you think there's a class action case

10:03:14 20 that should be brought, bring it. I'll look at it.

21 MR. DANN: Your Honor, if we're able to get  
22 the dates completed by February --

23 THE COURT: The case -- you're describing a  
24 different case or cases than you've got. Okay?

10:03:30 25 MR. DANN: Okay.

1 THE COURT: So.

2 MR. BILLAK: Your Honor, if I --

3 THE COURT: All right. I'm sorry. I wanted  
4 something, you know, streamlined. It isn't happening. I  
5 made some suggestions. Yes?  
10:03:40

6 MR. WEINBERGER: Peter Weinberger on behalf of  
7 Plaintiffs Executive Committee.

8 And I did participate in the phone call conference  
9 that led to the proposal, just to listen in to see what the  
10 issues were.  
10:03:58

11 On behalf of the PEC, we -- I think it's important for  
12 us to state for the record that our abatement remedies that  
13 we set forth in our expert reports to abate the public  
14 nuisance includes remedies associated with the NAS babies,  
15 both with respect to future costs associated with the health  
16 care system, related to monitoring their conditions, as well  
17 as treating them, as well as abatement remedies in the form  
18 of money going towards foster care.

19 And in our discussions with counsel, who are here on  
20 behalf of the NAS babies over the last number of months, we  
21 have pointed that out to them to reflect the fact that we  
22 believe that what we have proposed to abate this public  
23 nuisance as relates directly to the clients or the class  
24 that they purport to represent are within the remedies that  
25 we are seeking.  
10:04:25  
10:04:55  
10:05:24



1 THE COURT: Well, thank you. I sort of  
2 thought that was the case. So that's another reason why  
3 I -- I'm very skeptical of these cases proceeding  
4 separately, so.

10:05:40 5 MR. BICKFORD: And, Judge, I think that we  
6 both have an issue on that and --

7 THE COURT: Well --

8 MR. DANN: Your Honor, I personally -- I  
9 personally watched the Governor in the legislature take the  
10:05:52 10 tobacco settlement money that the state was awarded for  
11 cessation of tobacco use and for treatment of people who  
12 were injured by tobacco and securitize that and use it to  
13 create a tax cut for the people of Ohio on my watch. When I  
14 was Attorney General, I saw it happen.

10:06:10 15 The city -- no offense to the politicians, but the  
16 cities and the counties and the states in this are not --  
17 are not in a position to reliably protect the interests of  
18 these children as they -- as they grow up. And this court  
19 is in a unique position to be able to do that.

10:06:27 20 At a minimum, we'd ask that you remand these cases  
21 back to the district courts from whence they came.

22 THE COURT: First of all, I don't have the  
23 authority to do it, to just require the JPML. I think  
24 they're unmanageable and unworkable. I'm not going to do  
10:06:44 25 that. I mean you -- I suggest you go back to the drawing

1 board. You got to convince me or any other court that  
2 you're seeking relief different than that relief sought by  
3 the cities and counties, Number 1.

4 Number 2, if all you're seeking is medical monitoring,  
5 then say that and drop everything else.

6 Number 3, you've got to -- if you want to bring this  
7 as a nationwide class action, you've got to show how it's  
8 manageable, workable. You've got to show how individual,  
9 you know, combinations of fact predominate when you've got  
10 individual, individual babies who were born of individual  
11 mothers, each of whom had a different chain of drug use, and  
12 how you're going to prove this or tie this to anyone.

13 You're not a state or a public entity that can sue on  
14 behalf of the collective. That's the difference between  
15 your case and the other ones I have, the 2000 other ones.

16 So --

17 MR. BICKFORD: Judge, with all due respect --

18 THE COURT: -- if and when you do all those  
19 things and something's in front of me, I'll take a look at  
20 it, but at the -- I'm not doing anything with what there is  
21 now.

22 MR. BICKFORD: Judge, with all due respect,  
23 what we had intended to do was just that.

24 THE COURT: Well, it didn't happen. So you go  
25 back to the drawing board. If you have something else you

1 end up presenting, I'll at some point, when I have the time,  
2 I'll take a look at it. But I think you should strongly  
3 reconsider whether you need to have a separate case or  
4 whether -- the relief that you're -- you're seeking is  
10:08:29 5 already being sought.

6 MR. BICKFORD: Judge, that was the purpose of  
7 us, in 30 days, filing our amended complaints and then  
8 moving for class certification.

9 THE COURT: Well, you can do whatever you  
10:08:43 10 want. Okay?

11 Obviously, you want to amend the complaint, you want  
12 to scrap it, you want to go somewhere else, do whatever you  
13 want, you know. I -- I'm not -- but I'm not issuing any  
14 schedule approaching this.

10:08:56 15 So all right. Thank you for everyone's time and  
16 attention.

17 (Proceedings adjourned at 10:09 a.m.)  
18  
19  
20  
21  
22  
23  
24  
25

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

C E R T I F I C A T E

I certify that the foregoing is a correct transcript from the record of proceedings in the above-entitled matter.

s/Shirle Perkins  
Shirle M. Perkins, RDR, CRR  
U.S. District Court - Room 7-189  
801 West Superior Avenue  
Cleveland, Ohio 44113  
(216) 357-7106